

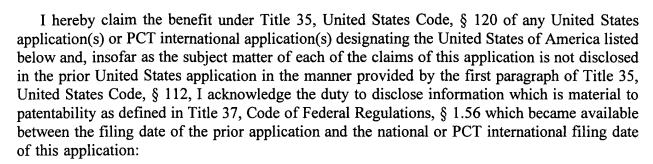
I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe that I am the original, first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

CHIMERIC EMBRYOS AND ANIMALS CONTAINING HUMAN CELLS

• • • •	ication is filed herewit, (if a	h, as Application Serial Nopplicable).	, and
		and understand the contents of the	
-	ge the duty to disclose Code of Federal Regul	e information which is material ations, § 1.56.	to patentability as
§ 119 of any foreign/ have also identified b	provisional application below any foreign/prov	priority benefits under Title 35, U (s) for patent or inventor's certifical isional application for patent or in- ication on which priority is claimed	te listed below and ventor's certificate
Prior Foreign/Provisi	onal Application(s)		Priority Claimed
<i>V / P</i> (Number)	(Country)	(Day/Month/Year Filed)	Yes/No
<i>M [17</i>] (Number)	(Country)	(Day/Month/Year Filed)	Yes/No



NIA		
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
NIA		
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

I hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Power of Attorney: As the named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith with full power of substitution and revocation: Patrick J. Coyne, Reg. No. 31,821, David R. Yohannan, Reg. No. 37,480, Glenn T. Barrett, Reg. No. 38,705, Erik R. Swanson, Reg. No. 40,833 and Howard N. Shipley, Reg. No. 39,370.

Send Correspondence to: Patrick J. Coyne, Esq.

COLLIER, SHANNON, RILL & SCOTT, PLLC

3050 K Street, N.W. Washington, D.C. 20007

Direct Telephone Calls to: Patrick J. Coyne, Esq.

(202) 342-8606

Full name of first inventor

Stuart A. Newman

Inventor's signature

Struct A Neuman December 15,1997

Residence

23 Iroquois Road, Pleasanhille, NY 10570

Citizenship

United States

Post Office Address

Same as residence

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(c))—NON-PROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: <u>The Foundation on Economic Trends</u>
ADDRESS OF ORGANIZATION: 1660 L Street, N.W., Suite 216, Washington, D.C. 2003
TYPE OF ORGANIZATION:
UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
[XX] TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a
AND 501(c) (3))
NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF
THE UNITED STATES OF AMERICA
(Name of State:
(Citation of Statute:
[] WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE
CODE (26 USC 501(a) AND 501(c)(3)) IF LOCATED IN THE UNITED STATES OF
AMERICA
[] WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER
STATUTE OF STATE OR THE UNITED STATES OF AMERICA IF LOCATED IN
THE UNITED STATES OF AMERICA
(Name of State:
(Citation of Statute:
I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a and (b) of Title 35, United States Code with regard to the invention entitled CHIMERIC EMBRYOS AND ANIMALS CONTAINING HUMAN CELLS by Stuart A. Newman
described in
[XX] the specification filed herewith.
[] application serial no, filed
[] application serial no, filed [] patent no, issued
I hereby declare that rights under contract or law have been conveyed to and remain with the

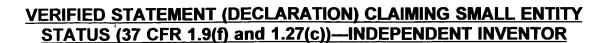
If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or by a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified

nonprofit organization with regard to the above identified invention.

statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME: Stuart A	A. Newman	
ADDRESS: 23 Iro	quois Road, Pleasantville, New York	c 10570
X NOIVIDUAL	SMALL BUSINESS CONCERN	NONPROPIT ORGANIZATION
NAME:		_
ADDRESS:		
INDIVIDUAL	SMALL BUSINESS CONCERN	NONPROPIT ORGANIZATION
resulting in loss of ent earliest of the issue fe	by to file, in this application or patent itlement to small entity status prior to see or any maintenance fee due after propriate. (37 CFR 1.28(b)).	paying, or at the time of paying, the
statements made on i statements were made punishable by fine or i Code, and that such w	all statements made herein of my of information and belief are believed with the knowledge that willful false imprisonment, or both, under Section willful false statements may jeopardized, or any patent to which this verified	to be true; and further that these statements and the like so made are 1001 of Title 18 of the United States e the validity of the application, any
NAME OF PERSON	SIGNING: YOUS	Jeremy Rifkin
TITLE IN ORGANIZ		
ADDRESS OF PERSO	ON SIGNING: 1660 I Street N.W.,	Suite 216, Washington, D.C. 20036

and described in



As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent & Trademark Office with regard to the invention entitled CHIMERIC EMBRYOS AND ANIMALS CONTAINING HUMAN CELLS

		tion filed on herewith.	filed	
[] a	atent no.	ial no, issued	_, med	 ·
law to assign, be classified invention, or t	grant, conve as an indepe to any concer	y or license, any rights indent inventor under	in the inver 37 CFR 1.9 ify as a sma	der no obligation under contract or nation to any person who could not O(c) if that person had made the all business concern under 37 CFR
	an obligation	under contract or law t		ed, granted, conveyed, or licensed rant, convey, or license any rights
		, concern or organizations or organizations list		
NOTE:		statements are required from each nature as small entities. (37 CFR 1.2		cern or organization having rights to the invention
NAME:	The Founda	tion on Economic Tren	ds	
ADDRESS:		eet, N.W., Suite 216, V		DC 20036
INDIVIDUAL NAME:	- 4 / 10	MALL BUSINESS CONCERN		NONPROFIT ORGANIZATION
ADDRESS: INDIVIDUAL		MALL BUSINESS CONCERN		- NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification or any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these





punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Stuart A. Newman	
NAME OF INVENTOR	
Sprant Mewman	
Signature of Inventor	
December 15, 1997	
Date	